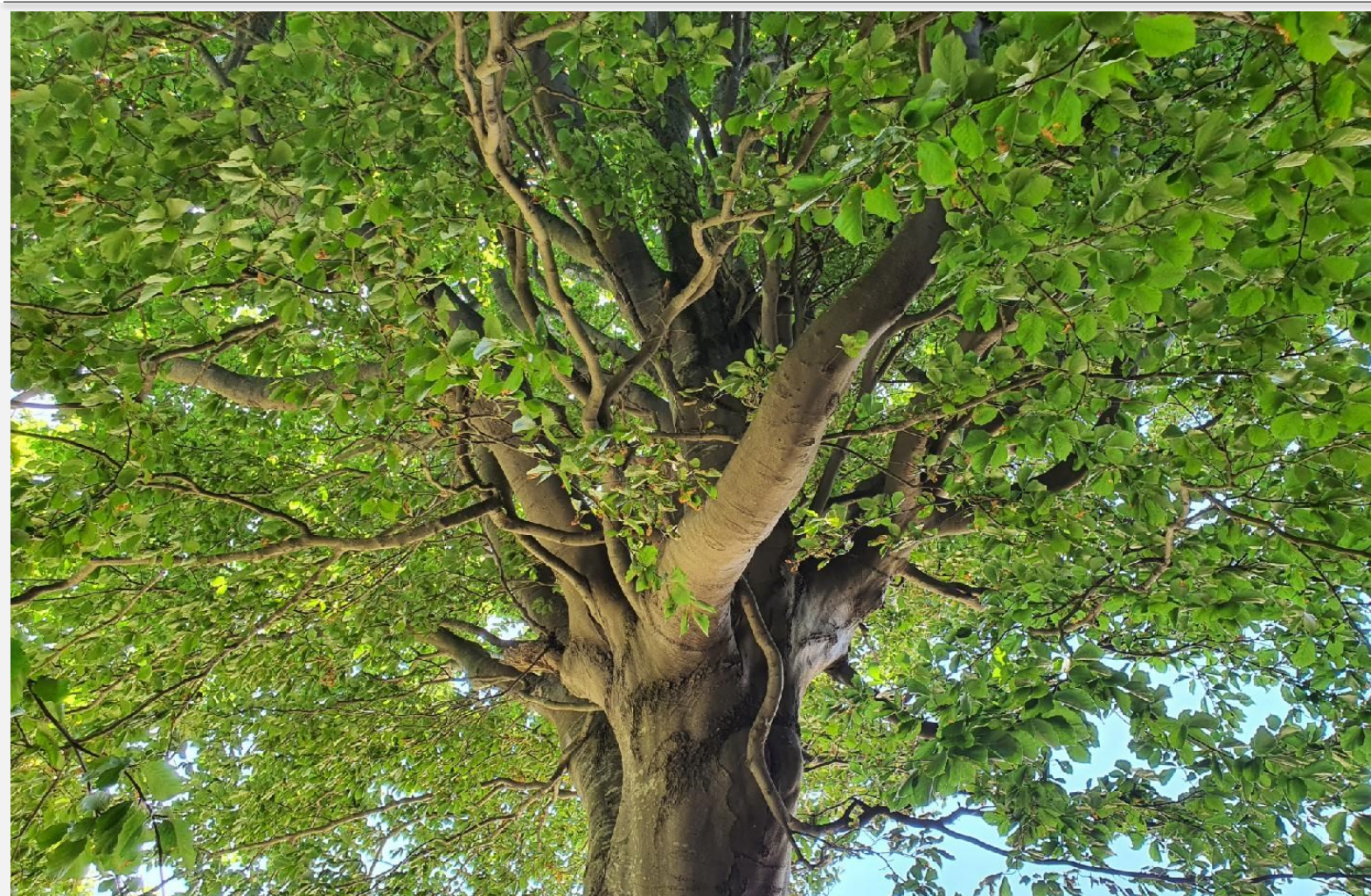


# Evaluation of European-wide map creation of flux-based ozone indicator POD for selected tree species



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This is a revised version of the report published in March 2023, which has been prepared due to an error in the ozone measurement data. Map 4.1 and its description have been updated.

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For the POD calculations, the module to estimate phytotoxic ozone doses from a given atmospheric ozone exposure developed by INERIS has been used (Colette et al., 2018).

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## Summary

It is widely acknowledged that the impacts of ground-level ozone ( $O_3$ ) on vegetation – including trees – are more closely related to the instantaneous  $O_3$  flux or  $O_3$  dose absorbed through the stomata than to exposure to specific concentrations of  $O_3$  in the atmosphere since only the  $O_3$  molecules that enter the leaves through the stomata are harmful to plants.

Therefore, an index based on stomatal  $O_3$  uptake (i.e.  $POD_V$ ) that accumulates  $O_3$  flux entering into the leaves via stomata is more appropriate than the  $O_3$  concentration-based metric. Flux-based critical levels (CLs) derived for the phytotoxic  $O_3$  dose (POD) were introduced by the Convention on Long-Range Transboundary Air Pollution (CLRTAP); the last revision took place in 2017 (CLRTAP, 2017a).

This paper examines the potential inclusion of Phytotoxic Ozone Dose (POD) for selected tree species in regular mapping under the ETC HE. The paper concludes that the inclusion of POD for trees in the routine mapping together with other regular maps is feasible, i.e. such maps show reasonable results and their creation is technically possible.

The POD maps for the 2020 growing season for selected trees, i.e. beech (*Fagus sylvatica*) and spruce (*Picea abies*), are created with calculated hourly POD values which are based on hourly  $O_3$  concentrations, hourly meteorological parameters such as temperature, vapour pressure deficit, solar radiation and soil hydraulic property data. The hourly  $O_3$  concentrations are calculated by combining the monitoring data from rural background stations, chemical transport modelling data and other supplementary data (Horálek et al., 2022).

$POD_1$  (where 1 represents the hourly detoxification threshold) is calculated using the methodology described in the Manual for modelling and mapping critical loads & levels of the CLRTAP in its 2017 revision (CLRTAP, 2017a). A module to estimate phytotoxic  $O_3$  doses from a given atmospheric  $O_3$  exposure as developed for the Continental biogeographical region (Map A2.1) by the French National Institute for Industrial Environment and Risks (INERIS) has been used (Colette et al., 2018). This script (written in the R programming language) has been consolidated and finalized by the Czech Hydrometeorological Institute (CHMI) for use in the European-wide POD spatial calculation for selected trees, i.e. it has been modified also for the Boreal region in the case of spruce and for the Mediterranean region in the case of beech.

CLs for  $POD_1$  for beech and spruce have been exceeded in the 2020 growing season almost throughout the whole European area considered (i.e. mapped), with the exception of large areas in northern Europe in the case of spruce, some areas in the Iberian Peninsula, Romania and Turkey in the case of beech, and other smaller areas in different countries in the case of both the beech and spruce.

## 1 Introduction

Ground-level ozone ( $O_3$ ) is a secondary pollutant formed in a complex photochemical chain reaction from its precursors, such as nitrogen oxides ( $NO_x$ ), volatile organic compounds (VOC), carbon monoxide (CO) and methane ( $CH_4$ ). These precursors come from both natural and anthropogenic sources. As ground-level  $O_3$  is a photochemical pollutant, it is dependent on solar radiation intensity. Photochemical reactions of ozone precursors under high temperatures lead to ozone formation (e.g. Seinfeld and Pandis, 2006; Coates et al., 2016). Regarding precursors, not only the absolute amount of precursors but also their relative ratio is important for the formation of ground-level ozone (Sillman et al., 1990).

Future scenarios of ozone concentrations in Europe predict both increases and decreases, depending of course on the scenario inputs (Cape, 2008; Varostos et al., 2013; Hendriks et al., 2016). It is a complex issue and quantification of future levels of ground-level ozone remains uncertain due to the interaction of the many processes influencing ozone formation. There are two main drivers for future ozone concentrations; changes in meteorological conditions due to climate change potentially resulting in an increase in ozone concentration and the achievement of a sufficient reduction of the ozone precursors potentially resulting in a decrease in ozone concentration (EEA, 2015; Hendriks et al., 2016). However, it should be noted that the reduction of ozone concentrations will require a great effort in terms of reducing the precursors in order to reduce the impact of ozone on vegetation, ecosystems and human health in the future (The Royal Society, 2008). According to Hendriks et al. (2016) “if strong global action to reduce air pollutant emissions is taken, ozone damage in 2050 could be lower than at present”.

$O_3$  is considered to be the most damaging common air pollutant for vegetation with adverse effects on crop yields and forest health (e.g. Ashmore, 2005; Paoletti and Manning, 2007; Cieslik, 2009; Mills et al., 2011; Fares et al., 2018).  $O_3$  penetrating the stomata causes an oxidative effect resulting in plant damage. Damages to plants occur when the molecule penetrates stomata and rapidly reacts in the intercellular spaces (Fares et al., 2018). After  $O_3$  enters through the stomata, it diffuses in the apoplast and rapidly decomposes to the hydroxyl radical  $HO\cdot$ , superoxide anion radical  $O_2^-$ , hydrogen peroxide  $H_2O_2$  and other reactive oxygen species (ROS) (Pell et al., 1997; Schraudner et al., 1997, Mittler, 2002). If the antioxidative system and the protection against the  $O_3$ -induced oxidative system are not sufficient, biochemical and physiological changes in plants occurred (Fares et al., 2013) and the plant is damaged (Ashmore, 2003). The  $O_3$  uptake is coupled with a reduction of the Rubisco amount (Vollenweider et al., 2003; Wittig et al., 2009) resulting in cell death or premature leaf senescence and leaf loss (Pell et al., 1997; Gill and Tuteja, 2010; Long and Naidu, 2002).

$O_3$  may induce injury at cell, organism or ecosystem levels (Stanners and Bourdeau eds., 1995). There are a large number of studies regarding the adverse effects of  $O_3$  on biochemical and physiological changes in plants. Typical adverse effects are visible leaf injury, accelerating leaf senescence, declining leaf chlorophyll content, reduced photosynthetic activity, reduction in carbon assimilation, biomass reduction, crown defoliation, carbon sequestration (e.g. Pell et al., 1997; Ashmore, 2003; Schaub et al., 2005; Wittig et al., 2009; Fares et al. 2013; Hoshika et al., 2013; Sicard et al., 2016; DeMarco et al., 2017; Paoletti et al., 2019). Consequently, plants weakened by the negative effects of  $O_3$  are more sensitive to other abiotic and biotic stressors (Jones et al., 2004; De Marco et al., 2017; Dalstein et al., 2019).

All  $O_3$  adverse effects may lead to long-term effects on ecosystem structure and function. Additionally,  $O_3$  may also contribute to climate change in the coming years by the mechanism of plant damage due to  $O_3$ , reducing the land carbon sink for  $CO_2$  and therefore increasing the rate at which  $CO_2$  increases in the atmosphere (e.g. The Royal Society, 2008; Oliver et al., 2018; Zhang et al., 2022). Consequently, there is an urgent need to better understand interactions between air pollution, including  $O_3$ , and climate change-related events (Michel et al., 2021). Last but not least, it is important to consider the economic loss since the  $O_3$  uptake is a threat for the timber production (Karlsson et al., 2005; Feng et al., 2019; Sacchelli et al., 2021).

Clearly, to protect forests against O<sub>3</sub> pollution appropriate standards are needed. Currently, the indicator used in the European Directive 2008/50/EC to protect vegetation against negative impacts of O<sub>3</sub> is the Accumulated Dose of O<sub>3</sub> Over a Threshold of 40 ppb (AOT40)<sup>(1)</sup>.

Critical levels for O<sub>3</sub> defined as AOT40 were agreed at a workshop in Kuopio, Finland in 1996 (Kärenlampi and Skärby, 1996). The approach is based on measured ambient O<sub>3</sub> concentrations > 40 ppb (i.e. 80 µg/m<sup>3</sup> under standard atmospheric conditions), regardless of whether O<sub>3</sub> is actually absorbed by the vegetation causing subsequent damage. The use of the AOT40 exposure index was introduced largely for practical reasons (Fuhrer, 1997; Gerosa et al., 2003). However, AOT40 does not provide any information on the physiological O<sub>3</sub> uptake into the leaves since it does not take into account any environmental factors affecting the O<sub>3</sub> uptake via stomata (Anav et al., 2016). The stomatal O<sub>3</sub> flux approach, in contrast to the concentration based approach, provides an estimate of the critical dose of O<sub>3</sub> entering via stomata and takes into account the main environmental conditions influencing stomatal O<sub>3</sub> uptake, such as phenology, air temperature, soil moisture, vapour pressure deficit (VPD) and solar radiation (Emberson et al., 2000). In other words, high ambient O<sub>3</sub> concentrations may not injure plants when stomata are closed (Ronan et al., 2020) during unfavourable conditions i.e. high vapour pressure deficit, high temperature and low soil humidity (Agyei, 2020; Paoletti, 2006). Conversely, relatively low O<sub>3</sub> concentrations can still lead to fairly high O<sub>3</sub> fluxes when stomata are open under favourable environmental conditions (Matyssek et al., 2007), thereby resulting in damage of the vegetation (Karlsson et al., 2017).

The study of Proietti et al. (2021) showed a significant decrease of O<sub>3</sub> concentrations and an exposure-based index, namely AOT40, due to successful control strategies to reduce the emission of O<sub>3</sub> precursors in Europe since the early 1990s. In contrast, the stomatal O<sub>3</sub> uptake by forests increased, leading to an increase of potential O<sub>3</sub> damage to plants in Europe. A similar conclusion was reported by Ronan et al. (2020) who found a decrease in concentration-based metrics over 2005–2014 at most sites in the USA and Europe but an increase in phytotoxic ozone dose (POD) in forests. Reasons for POD increases despite the reduction in O<sub>3</sub> concentrations are that stomatal conductance is influenced by environmental variables (Ronan et al., 2020) and climate change i.e. a longer growing season and the positive effect of air temperature on stomatal opening (Anav et al., 2019; Proietti et al., 2021).

For all these reasons, it is widely acknowledged that the impacts of O<sub>3</sub> are more closely related to the instantaneous O<sub>3</sub> flux or O<sub>3</sub> dose absorbed through the stomata than to O<sub>3</sub> exposure in the ambient air, since only O<sub>3</sub> molecules that enter the leaves through the stomata are harmful to plants (e.g. Musselman and Massman, 1998; Nussbaum et al., 2003; Fares et al., 2010; Musselman et al., 2006; Matyssek et al., 2007, Cieslik, 2009). Fares et al. (2010) suggested that AOT40 is a poor predictor of stomatal O<sub>3</sub> uptake, and that a physiologically based metric would be more effective. Therefore, an index based on stomatal O<sub>3</sub> uptake such as POD<sub>Y</sub>, which considers the accumulated O<sub>3</sub> flux entering into the leaves via stomata above a threshold Y, is more appropriate than a purely O<sub>3</sub> concentration-based metric. Subsequently this flux-based POD<sub>Y</sub> (i.e. the accumulated stomatal O<sub>3</sub> flux above detoxification threshold Y) measure was adapted by the Convention on Long-Range Transboundary Air Pollution (CLRTAP, 2017a; Mills et al., 2011).

The cumulative stomatal ozone fluxes (F<sub>sto</sub>) through the stomata of leaves found at the top of the canopy are calculated over the course of the growing season based on ambient ozone concentration and stomatal conductance (g<sub>sto</sub>) to ozone. The stomatal conductance has been calculated using a multiplicative stomatal conductance model (Emberson et al., 2000) based on Jarvis (1976) as a function of species-specific maximum g<sub>sto</sub> (expressed on a single leaf-area basis), phenology, and prevailing environmental conditions - photosynthetic photon flux density, PPFD, air temperature, vapour pressure deficit (VPD), and soil moisture. Phenology and soil moisture are supposed to be the key factors for the stomatal conductance (CLRTAP, 2017a). Phenology is a primary driver of the seasonality of fluxes. The most important phenological periods to define for forests trees are the start and end of the physiologically active growth

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<sup>(1)</sup> AOT40 means the sum of the differences between hourly concentrations greater than 80 µg/m<sup>3</sup> (= 40 parts per billion) and 80 µg/m<sup>3</sup>.

period (Tuovinen, 2009). The importance of taking into account soil moisture conditions for the O<sub>3</sub> flux calculations using the method published by CLRTAP was highlighted by Büker et al. (2012). Consequently it is possible to calculate the Phytotoxic O<sub>3</sub> Dose above a threshold flux Y (POD<sub>Y</sub>). Y represents the hourly detoxification threshold below which every molecule of O<sub>3</sub> that enters through the stomata is expected to be degraded without causing any damage (Musselman et al., 2006; Jakovljević, 2021).

A uniform O<sub>3</sub> flux threshold of Y = 1 nmol/m<sup>2</sup>/s PLA (projected leaf area) was adopted for use in species-specific phytotoxic O<sub>3</sub> doses above a threshold of Y (POD<sub>Y</sub>SPEC) for all tree species at the O<sub>3</sub> Critical Levels workshop in Madrid, November 2016 (CLRTAP, 2017a), based on data and analyses presented in Büker et al. (2015). POD<sub>Y</sub>SPEC is a POD<sub>Y</sub> specific to a species or group of species requiring comprehensive input data, thus making it suitable for detailed risk assessment. For applications in a climate change context, the POD<sub>Y</sub>SPEC method is recommended as key factors such as phenology, regulating the gas exchange between biosphere and atmosphere (Anav et al., 2017), and soil moisture are included in the parameterisation (CLRTAP, 2017a). Anav et al. (2022) illustrated that POD<sub>1</sub> is the most reliable simple estimate of O<sub>3</sub> risk and recommended the use of this metric by policy makers as an air quality standard to protect vulnerable forest ecosystems in the future.

The monitoring of O<sub>3</sub> flux and the estimation of exceedances of critical levels for terrestrial ecosystems in order to assess O<sub>3</sub> damage to vegetation growth and biodiversity is recommended by Annex V of the Directive (EU) 2016/2284 on the reduction of national emissions of certain atmospheric pollutants (EU, 2016) and by the Commission Notice on ecosystem monitoring under Article 9 and Annex V of Directive (EU) 2016/2284 of the European Parliament and of the Council on the reduction of national emissions of certain atmospheric pollutants (NEC-Directive) (EU, 2019). According to Anav et al. (2016), in light of inconsistencies between AOT40 and POD<sub>Y</sub>, using AOT40 because of its relative simplicity is no longer justified. Further studies concerning the effect of O<sub>3</sub> on plants and the subsequent damage leading to economic losses should not rely on concentration-based approaches, but take into account conclusions drawn from flux-based approaches (Ronan et al., 2020). Moreover, a biologically-sound stomatal flux-based standard (POD<sub>Y</sub>) as a new European legislative standard is needed in a climate change context (Proietti et al., 2021).

Following the inclusion of the Phytotoxic Ozone Doze (POD) for crops in regular mapping (Horálek et al., 2021), the feasibility of potential regular mapping of the POD for selected trees is evaluated in this report. POD is calculated using the methodology described in the Manual for modelling and mapping critical loads & levels of the CLRTAP in its 2017 revision (CLRTAP, 2017a). The feasibility of a routine calculation of POD for trees together with other regular maps is examined. The POD for selected European trees species, namely beech (*Fagus sylvatica*) and spruce (*Picea abies*), is calculated for the whole of Europe for the 2020 vegetation period.

As in the case of the creation of POD maps for crops (Horálek et al., 2022), the hourly ozone gridded data calculated by combining the monitoring, chemical transport modelling, and other supplementary data have been used in the POD for trees mapping. The methodology for the spatial ozone data calculation is a linear regression model followed by kriging of the residuals from that regression model (residual kriging), which is primarily driven by the monitoring data. Only ozone monitoring data from rural background stations are used, similarly to the mapping of other vegetation-related indicators (Horálek et al., 2022). Thus, the maps are labelled as rural, being representative for rural areas only.

Chapter 2 describes the methodology and routines used in the calculations. Chapter 3 documents the input data applied to the POD mapping. Chapter 4 presents and discusses the results – maps of POD for beech and spruce trees. Chapter 5 presents the conclusions. Annexes 1 and 2 document the technical details of the POD calculations.

## 2 Methods and routines used

### 2.1 Phytotoxic Ozone Dose above a threshold flux Y (POD<sub>Y</sub>) for trees

The calculation of the phytotoxic O<sub>3</sub> dose above a threshold Y (POD<sub>Y</sub>) as described below follows precisely the methodology described in the Manual for modelling and mapping critical loads & levels of the CLRTAP in its most recent available revision (CLRTAP, 2017a), including some specifications presented in the Scientific background documents of this manual (CLRTAP, 2017b, 2020), as prepared by the International scientific Cooperative Programme on effects of air pollution on natural vegetation and crops of the Working Group on Effects of the CLRTAP (ICP Vegetation).

The steps to be taken are presented in Table 2.1. This paper deals with step 1-5.

**Table 2.1: Steps to be taken to calculate exceedance of flux-based (POD<sub>Y</sub>SPEC or POD<sub>Y</sub>IAM) critical levels**

1	Decide on the species and biogeographical region(s) to be included.
2	Obtain the O <sub>3</sub> concentrations at the top of the canopy for the species or vegetation-specific accumulation period.
3	Calculate the hourly stomatal conductance of O <sub>3</sub> (g <sub>sto</sub> ).
4	Model the hourly stomatal flux of O <sub>3</sub> (F <sub>sto</sub> ).
5	Calculation of POD <sub>Y</sub> (POD <sub>Y</sub> SPEC or POD <sub>Y</sub> IAM) from F <sub>sto</sub> .
6	Calculation of exceedance of flux-based critical levels.

\* POD<sub>Y</sub>SPEC is a species or group of species-specific POD<sub>Y</sub> that requires comprehensive input data and is suitable for detailed risk assessment. POD<sub>Y</sub>IAM is a vegetation-type specific POD<sub>Y</sub> that requires less input data and is suitable for large-scale modelling, including integrated assessment modelling.

Source: CLRTAP, 2017a.

The cumulative stomatal O<sub>3</sub> fluxes (F<sub>sto</sub>) are calculated over the course of the growing season by multiplying the ambient O<sub>3</sub> concentration with the corresponding stomatal conductance (g<sub>sto</sub>) to O<sub>3</sub>. g<sub>sto</sub> is calculated using a multiplicative stomatal conductance model proposed by Jarvis (1976) and modified by Emberson et al. (2000) as a function of species-specific maximum g<sub>max</sub> (expressed on a single leaf-area basis), phenology, and prevailing environmental conditions - photosynthetic photon flux density (PPFD), air temperature, vapour pressure deficit (VPD), and soil moisture.

Hourly averaged stomatal O<sub>3</sub> fluxes (F<sub>sto</sub>) in excess of a threshold Y, expressed in mmol/m<sup>2</sup> PLA (²), are summed over a species-specific or vegetation-specific accumulation period, in order to get the phytotoxic O<sub>3</sub> dose above the threshold Y (POD<sub>Y</sub>) using the equation:

$$POD_Y = \sum_n (F_{sto}(n) - Y) \cdot \frac{3600}{10^6} \quad (\text{mmol/m}^2 \text{ PLA}) \quad (\text{Eq. 1})$$

where the threshold value Y (nmol/m<sup>2</sup> PLA per second) is subtracted from each hourly averaged F<sub>sto</sub> (nmol/m<sup>2</sup> PLA per second) value only when F<sub>sto</sub> > Y, and only during daylight hours which are assessed as when global radiation is more than 50 W/m<sup>2</sup>.

Two POD<sub>1</sub> versions are available: POD<sub>1</sub>IAM is a vegetation type specific version of POD for Integrated Assessment Modelling. We preferred to use here POD<sub>1</sub>SPEC, which is specific to a given species – beech (*F. sylvatica*) and Norway spruce (*P. abies*) being the species in this case.

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(²) PLA, or the projected leaf area, is the total area of the sides of the leaves that are projected towards the sun. PLA is different to the total leaf area, which accounts for both sides of the leaves.



As can be seen in Eq. 1, the accumulated stomatal O<sub>3</sub> fluxes in excess of the threshold Y is converted to hourly fluxes by multiplying by 3 600 and to mmol by dividing by 10<sup>6</sup>, to get the stomatal O<sub>3</sub> flux in mmol/m<sup>2</sup> PLA. A uniform O<sub>3</sub> flux threshold of Y = 1 nmol/m<sup>2</sup> PLA per second was adopted for all tree species using POD<sub>1</sub>SPEC for the calculation of POD.

### **2.1.1 Decision on the species and biogeographical region(s) to be included**

Plant stomatal functioning varies per plant species and can vary by biogeographical region, reflecting different adaptations of plants to climate and soil water in these regions. To accommodate these differences, separate parameterisations and accumulation periods have been developed for stomatal O<sub>3</sub> flux models for different species and biogeographical regions. The EEA classification<sup>(3)</sup> of biogeographical zones is recommended for application to risk assessments for Europe (for details see Annex 2). Parametrization for POD<sub>1</sub> for beech and Norway spruce is currently available for different biogeographic regions of Europe apart from Alpine region, i.e. for Atlantic, Boreal (only for Norway spruce), Continental, Pannonian, Steppic, and Mediterranean (only for beech) regions (CLRTAP, 2017a; CLRTAP, 2020). The parametrization is the same for most of these regions (namely Continental, Atlantic, Steppic, Pannonian), while for Boreal (in the case of spruce) and Mediterranean (in the case of beech) regions it is different. Thus, these areas are calculated separately.

Since no parametrization for beech in the Boreal, Arctic (Iceland in the mapped European area) and Alpine > 50° biogeographical regions is available, these areas are marked in lighter colours. Nevertheless, for the purpose of completeness, the POD<sub>1</sub> has been modelled for these regions using the parameterization for the Continental biogeographical regions. For other regions with no available parametrization i.e. the Alpine < 50°, Anatolian and Black Sea regions, we used the parametrizations for Continental and Mediterranean regions, respectively. A more detailed overview of the parameterization used for different regions can be found in Annex 1 and Annex 2.

Since no parametrization for spruce in the Mediterranean, Anatolian and Black Sea biogeographical regions is available, these areas are also marked in lighter colours. However, again for the purpose of completeness, the POD<sub>1</sub> has been modelled for the Mediterranean region using the parameterization for the Continental biogeographical regions. For other regions with no available parametrization, i.e. the Arctic and Alpine > 50°, and Alpine < 50° regions, we used parametrizations for the Boreal and Continental regions, respectively. A more detailed overview of the parameterization used for different regions can be found in Annex 2.

This report is limited to beech and Norway spruce, although the methodology presented is also applicable to other crops and vegetation (CLRTAP, 2017a). Beech and Norway spruce were selected as the tree species for which the most comprehensive parameterization for POD is available.

### **2.1.2 Obtaining the O<sub>3</sub> concentrations at the top of the canopy for the species or vegetation-specific accumulation period**

For forests, O<sub>3</sub> concentrations must often be derived from measurements made over grasslands or other types of land cover. Conversion of O<sub>3</sub> concentrations at measurement height to canopy height can be best achieved with an appropriate deposition model (CLRTAP, 2017a; CLRTAP, 2017b). However, as obtaining the O<sub>3</sub> concentration at the top of trees in comparison to crops is rather complex and data demanding, we decided to use a simpler tabulation of O<sub>3</sub> gradients instead. This tabulation of O<sub>3</sub> gradients is also recommended and allowed by CLRTAP (2017a) and is calculated by

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<sup>(3)</sup> See <http://www.eea.europa.eu/data-and-maps/data/biogeographical-regions-europe-3>.

$$c(z_{tgt}) = c(z_m, O_3) * [g(z_1) / g(z_m, O_3)] = c(z_m, O_3) * [1 / 0.95] \quad (\text{Eq. 2})$$

where  $c(z_{tgt})$  is the concentration of  $O_3$  at canopy top (ppb),  
 $c(z_m, O_3)$  is the  $O_3$  concentration measured at the height  $z_m$  (ppb),  
 $g(z_1)$  is the  $O_3$  concentration gradient for the height of canopy, i.e. 1 for trees of 20 m height,  
 $g(z_m, O_3)$  is the  $O_3$  concentration gradient for the height of  $O_3$  measurement, i.e. 0.95 for  $O_3$  concentration measuring at height of 2 m (i.e the height of  $O_3$  measuring equipment),  
assessed over grasslands for rural stations.

### 2.1.3 Calculation of the hourly stomatal conductance of $O_3$ ( $g_{sto}$ )

The basis of the approach used for calculating phytotoxic  $O_3$  doses is the calculation of an instantaneous stomatal conductance  $g_{sto}$  in the given hour H, according to the equation

$$g_{sto} = g_{max} * [\min(f_{phen}, f_{O_3})] * f_{light} * \max[f_{min}, (f_{temp} * f_{VPD} * f_{SW})] \quad (\text{Eq. 3})$$

where  $g_{sto}$  is the actual stomatal conductance (mmol  $O_3$ /m<sup>2</sup> PLA per second),  
 $g_{max}$  is the species-specific maximum stomatal conductance (mmol  $O_3$ /m<sup>2</sup> PLA per second); see Annex 1),  
 $f_{phen}$  is the relative proportion function for the phenology for the different growth stage,  
 $f_{O_3}$  is the relative proportion function for the influence of  $O_3$  on stomatal flux by promoting premature senescence, not included for trees; a function is included only for crops,  
 $f_{min}$  is the relative minimum stomatal conductance that occurs during daylight hours,  
 $f_{temp}$ ,  $f_{VPD}$ ,  $f_{SW}$ ,  $f_{light}$  are relative proportion functions for leaf stomata response to temperature, air humidity, soil moisture and light.

Parameters  $f_{phen}$ ,  $f_{light}$ ,  $f_{temp}$ ,  $f_{VPD}$ ,  $f_{SW}$  and  $f_{min}$  are expressed as relative proportion functions, taking values between 0 and 1 as a proportion of  $g_{max}$ . These functions allow taking into account irradiance ( $f_{light}$ ), temperature ( $f_{temp}$ ), water vapour deficit at leaves level ( $f_{vpd}$ ), soil moisture ( $f_{sw}$ ), the phenology for the different growth stage ( $f_{phen}$ ) and the influence of  $O_3$  on stomatal flux by promoting premature senescence ( $f_{O_3}$ ).

For forest trees,  $f_{phen}$  is calculated using parameterisations based on a fixed number of days. Each pair of equations gives  $f_{phen}$  in relation to the yearly accumulation period for  $POD_{ySPEC}$  where  $A_{start}$  and  $A_{end}$  are respectively the start and end of the accumulation period which here is the growing season.

The parameter  $f_{phen}$  is calculated according to:

$$f_{phen} = (1 - f_{phen\_a}) * [(yd - A_{start})/f_{phen\_1\_FD}] + f_{phen\_a} \quad \text{for } A_{start\_FD} \leq yd < (A_{start} + f_{phen\_1\_FD}) \quad (\text{Eq. 4})$$

$$f_{phen} = 1 \quad \text{for } (A_{start} + f_{phen\_1\_FD}) \leq yd \leq (A_{end} - f_{phen\_4\_FD}) \quad (\text{Eq. 5})$$

$$f_{phen} = (1 - f_{phen\_e}) * [(A_{end} - yd)/f_{phen\_4\_FD}] + f_{phen\_e} \quad \text{for } (A_{end} - f_{phen\_4\_FD}) < yd \leq A_{end} \quad (\text{Eq. 6})$$

where  $yd$  is the year day,  
 $A_{start}$  and  $A_{end}$  are the year days for the start and end of the O<sub>3</sub> accumulation period respectively; parameters  $A_{start}$  and  $A_{end}$  and  $f_{phen\_xx}$  are defined in the parametrization table (see Annex 1); the subscripts FD (e.g.  $A_{start\_FD}$ ) refer to the fixed day,  
 $f_{phen\_a}$ ,  $f_{phen\_e}$  is the phenology function, which consists of terms describing rate changes of  $g_{max}$  expressed as fractions (see Annex 1),  
 $f_{phen\_1\_FD}$ ,  $f_{phen\_4\_FD}$  are °C days (see Annex 1;  $f_{phen\_1\_FD}$  and  $f_{phen\_4\_FD}$  define periods when trees are sensitive to O<sub>3</sub> exposure).

For beech in all modelled regions and for Norway spruce in the Boreal region, the start of the growing season is estimated using a simple latitude model and occurs at year day 105 at latitude 50°N, and alters by 1.5 days per degree latitude, starting earlier moving south and later moving north. The end of the growing season is estimated as occurring at year day 297 at latitude 50°N, and the end of the growing season will alter by 2 days per degree latitude, ending earlier moving north and later moving south. The effect of altitude on phenology is incorporated by assuming a later start of the growing season and earlier end of the growing season by 10 days for every 1 000 m.

$$A_{start\_FD} = 105 - 1.5 * (50 - \text{latitude}) + 10 * \text{altitude}/1000 \quad (\text{Eq. 7})$$

$$A_{end\_FD} = 297 - 2 * (\text{latitude} - 50) - 10 * \text{altitude}/1000 \quad (\text{Eq. 8})$$

It should be noted that there are currently discussions about the validity of this latitude model in some regions, although there is currently no immediate alternative approach available (CLRTAP, 2020).

For Norway spruce in the Continental region, the growth period is determined by air temperature defined according to the  $f_{temp}$  function. The growing season is assumed to occur when air temperatures are between the  $T_{min}$  and  $T_{max}$  thresholds of the  $f_{temp}$  relationships. During such periods there is no limitation on conductance associated with the leaf development stage (i.e.  $f_{phen} = 1$ ).

The parameter  $f_{light}$  is calculated according to

$$f_{light} = 1 - \text{EXP}[(-\text{light\_a}) * \text{PPFD}] \quad (\text{Eq. 9})$$

while  $\text{PPFD} = \text{SSRD} * 0.5 * 4.5 \quad (\text{Eq. 10})$

where  $\text{light\_a}$  depends on the species and biogeographical region (see Annex 1),  
 $\text{PPFD}$  represents the photosynthetic photon flux density ( $\mu\text{mol}/\text{m}^2$  per second),  
 $\text{SSRD}$  represents the surface net solar radiation ( $\text{W}/\text{m}^2$ ).

The parameter  $f_{temp}$  is calculated according to:

$$f_{temp} = \max \{ f_{min}, [(T - T_{min}) / (T_{opt} - T_{min})] * [(T_{max} - T) / (T_{max} - T_{opt})]^{bt} \} \quad (\text{Eq. 11})$$

for  $T_{min} < T < T_{max}$

$$f_{temp} = f_{min} \quad \text{for } T < T_{min} \text{ or } T > T_{max} \quad (\text{Eq. 12})$$

while  $bt = (T_{max} - T_{opt}) / (T_{opt} - T_{min}) \quad (\text{Eq. 13})$

where  $T_{min}$ ,  $T_{max}$  and  $T_{opt}$  are minimum, maximum and optimum temperatures determining leaf stomata opening (see Annex I).

The parameter  $f_{VPD}$  is calculated according to:

$$f_{VPD} = \min \{ 1, \max \{ f_{min}, [(1 - f_{min}) * (\text{VPD}_{min} - \text{VPD}) / (\text{VPD}_{min} - \text{VPD}_{max})] + f_{min} \} \} \quad (\text{Eq. 14})$$

while  $VPD = e_s(T_a) * (1-h_r)$  (Eq. 15)

$e_s(T_a) = a \exp [bT_a/(T_a+c)]$  (Eq. 16)

where  $VPD_{min}$  is the minimum vapour pressure deficit determining leaf stomata opening (kPa),  
 $VPD_{max}$  is the maximum vapour pressure deficit determining leaf stomata opening (kPa),  
 $T_a$  is the air temperature (°C),  
 $h_r$  is the relative humidity (%)/100,  
 $e_s(T_a)$  is the potential (saturation) water vapour pressure,  
 $a, b, c$  are the empirical constants ( $a = 0.611$  kPa,  $b = 17.502$ ,  $c = 240.97^\circ\text{C}$ ).

The parameter  $f_{sw}$  is replaced by  $f_{SMI}$ , (where  $SMI$  represents Soil Moisture Index with maximum at field capacity), taking values between 0 and 1 as a proportion of  $g_{max}$  (with 0 for soil moisture at and below wilting point). The basic equation used for  $f_{sw}$  resp.  $f_{SMI}$  is:

$f_{SMI} = 0$  for  $SMI \leq 0$  (Eq. 17)

$f_{SMI} = \frac{SMI}{SMI_t}$  for  $0 < SMI \leq SMI_t$  (Eq. 18)

$f_{SMI} = 1$  for  $SMI > SMI_t$  (Eq. 19)

when  $SMI = (SWLL - PWP) / (FC - PWP)$  (Eq. 20)

where  $SMI_t$  is the threshold SMI, set to 0.5, above which stomatal conductance is at a maximum,  
 $SWLL$  is the soil moisture in ( $\text{m}^3/\text{m}^3$ ),  
 $PWP$  is the permanent wilting point in ( $\text{cm}^3/\text{cm}^3$ ),  
 $FC$  is the field capacity in ( $\text{cm}^3/\text{cm}^3$ ).

The Soil Moisture Index using the EMEP methodology as described in Simpson et al. (2012) and CLRTAP (2020) is used. The index SMI has the advantage over volumetric methods that it is less sensitive to local soil characteristics, and hence is easier to interpolate across different vegetation types and grids (Simpson et al., 2012). It is computed using the soil moisture variable available from a meteorological model, which represents the water content in  $\text{m}^3$  of water per  $\text{m}^3$  of ground ( $\text{m}^3/\text{m}^3$ ) at a specific ground level, in dependence on the available dataset. For soil moisture, the ECMWF's ERA5-Land variable Volume of water in soil layer 3 (i.e. 28-100 cm) has been used for beech and in soil layer 2 (i.e. 7-28 cm) for Norway spruce, see Section 3.3. The level of soil layer was chosen based on recommendations of Forestry and Game Management Research Institute (Novotný, personal communication, February 2, 2022) and also based on the results of Schmid (2002), Kodrík and Kodrík (2002), and Oravcová and Vido (2022).

The soil moisture is quite a sensitive parameter in the calculation of the POD. Next to the soil moisture, the soil moisture index also takes into account the permanent wilting point and the field capacity; they are taken from the JRC soil database (JRC, 2016), see Section 3.5.

The  $f_{sw}$  resp.  $f_{SMI}$  values used in EMEP-DO3SE are a very simple function of SMI, which itself is a simple scaling between the field capacity (FC) and permanent wilting point (PWP) of the vegetation. The ECMWF values of SMI, PWP and FC are per grid square, and thus not specific to any particular vegetation or soil.

#### 2.1.4 Modelling the hourly stomatal flux of $O_3$ ( $F_{sto}$ )

Once all variables of Section 2.1.3 are computed, the stomatal flux of  $O_3$  ( $F_{sto}$ ) can be calculated, based on the assumption that the concentration of  $O_3$  at the top of the canopy represents a reasonable estimate of the concentration at the upper surface of the laminar layer for a sunlit upper canopy leaf.  $F_{sto}$  is calculated according to the ICP Vegetation methodology, thus the fraction of the  $O_3$  taken up by the stomata is given using a combination of the stomatal conductance, the external leaf, or cuticular, resistance, the leaf



surface resistance, and the quasi-laminar resistance. The hourly stomatal flux at a given hour H is calculated according to

$$F_{sto} = c(z_{tgt}) * g_{sto} * \frac{r_c}{r_b + r_c} \quad (\text{Eq. 21})$$

where  $F_{sto}$  is the hourly stomatal flux of  $O_3$  in (nmol/m<sup>2</sup> PLA per second),  
 $c(z_{tgt})$  is the concentration of  $O_3$  at canopy top (nmol/m<sup>3</sup>),  
 $r_b$  is the quasi-laminar resistance in (s/m),  
 $r_c$  is the leaf surface resistance in (s/m),  
 $g_{sto}$  is the actual stomatal conductance in (m/s),

while  $r_c = 1/(g_{sto} + g_{ext})$  (Eq. 22)

$$g_{ext} = 1/2500 \text{ (m s}^{-1}\text{)} \quad (\text{Eq. 23})$$

$$r_b = 1.3 * 150 * \sqrt{\frac{L}{\frac{u^*}{k} \cdot \ln\left(\frac{z_{tgt}}{z_{0,tgt}}\right)}} \quad (\text{Eq. 24})$$

where  $L$  is the cross-wind leaf dimension (cm, see Annex 1),  
 $u^*$  is the friction velocity (m/s),  
 $k$  is the von Kármán constant (equal to 0.41),  
 $z_{tgt}$  is the top of the canopy (m),  
 $z_{0,tgt}$  is the roughness length, usually assumed as 1/10 of the canopy height (m).

### 2.1.5 Conversion of stomatal conductance ( $g_{sto}$ ) and $O_3$ concentration to units required for PODY calculation

According to CLRTAP (2017a), stomatal conductance  $g_{sto}$  has to be converted from mmol/m<sup>2</sup> per second to m/s (since all the resistances are expressed in s/m). At standard temperature (20 °C) and air pressure (1.013 x 10<sup>5</sup> Pa), the conversion is made by dividing the conductance value expressed in mmol/m<sup>2</sup> per second by 41 000 to give conductance in m/s. To convert the  $O_3$  concentration ( $c(z_{tgt})$ ) at canopy height from µg/m<sup>3</sup> resp. ppb to nmol/m<sup>3</sup>, the following equation should be used:

$$c(z_{tgt}) \text{ [nmol/m}^3\text{]} = c(z_1) \text{ [ppb]} * P/(R \cdot T) = c(z_{tgt}) \text{ [µg/m}^3\text{]} / 2 * P/(R \cdot T) \quad (\text{Eq. 25})$$

where  $P$  is the atmospheric pressure in Pa,  
 $R$  is the universal gas constant of 8.31447 J mol/K  
 $T$  is the air temperature in Kelvin.

At standard temperature (20 °C) and air pressure (1.013 x 10<sup>5</sup> Pa), the concentration in ppb should be multiplied by 41.56 to calculate the concentration in nmol/m<sup>3</sup>.

In the routine used in this paper (Section 2.3), an alternative conversion of the  $O_3$  concentrations from µg/m<sup>3</sup> or ppb to nmol/m<sup>3</sup> is done, using the air density instead of the atmospheric pressure, according to

$$C \text{ [nmol/m}^3\text{]} = C \text{ [ppb]} * \rho / N_a * 10^6 = C \text{ [µg/m}^3\text{]} / 2 * \rho / N_a * 10^6 \quad (\text{Eq. 26})$$

where  $\rho$  is the air density showing the number of the molecules per cm<sup>3</sup>  
 $N_a$  is the Avogadro constant, which is equal to 6.022x10<sup>23</sup> per mol

### 2.1.6 Calculation of $POD_Y$ ( $POD_{YSPEC}$ or $POD_{YIAM}$ ) from $F_{sto}$

Hourly averaged stomatal  $O_3$  fluxes ( $F_{sto}$ ) in excess of a  $Y$  threshold are accumulated over a species or vegetation-specific accumulation period using the following equation:

$$POD_Y = \sum_n (F_{sto}(n) - Y) \cdot \frac{3600}{10^6} \text{ (mmol/m}^2 \text{ PLA)} \quad (\text{Eq. 27})$$

while  $Y$  (for trees) = 1 nmol/m<sup>2</sup> PLA per second

where  $POD_Y$  is the phytotoxic  $O_3$  dose related to the threshold  $Y$ , in mmol/m<sup>2</sup> PLA,  
 $F_{sto}(n)$  is the hourly  $O_3$  flux in the hour  $n$ .

The value  $Y$  (nmol/m<sup>2</sup> PLA per second) is subtracted from each hourly averaged  $F_{sto}$  (nmol/m<sup>2</sup> PLA per second) value only when  $F_{sto} > Y$ , during daylight hours assessed as being when global radiation is more than 50 W/m<sup>2</sup>. The value is then converted to hourly fluxes by multiplying by 3 600 and to mmol by dividing by 10<sup>6</sup> to get the stomatal  $O_3$  flux in mmol/m<sup>2</sup> PLA.

### 2.1.7 Calculation of exceedance of flux-based critical levels

If the calculated  $POD_Y$  value is larger than the flux-based critical level for  $O_3$ , then there is exceedance of the critical level ( $CL_{\text{exceedance}}$ ). Exceedance of the critical level is calculated as follows:

$$CL_{\text{exceedance}} = POD_Y - \text{critical level} \quad (\text{Eq. 28})$$

The species-group or species-specific flux models, associated response functions and critical levels for forest trees were derived from experiments with young trees and can be used to quantify the potential negative impacts of  $O_3$  on the annual growth of the living biomass of trees at the local and regional scale.

The critical levels for forest trees were set to values for an acceptable biomass loss.

The critical level (CL) for beech is set to be 5.2 mmol/m<sup>2</sup> PLA (potential effect at this CL is a 4 % annual reduction of the whole tree biomass). The critical level for Norway spruce is set to be 9.2 mmol/m<sup>2</sup> PLA (potential effect at this CL is a 2 % annual reduction of the whole tree biomass).

## 2.2 Ozone gridded data estimation

The hourly  $O_3$  gridded data (used in the POD mapping) are calculated by combining the monitoring, chemical transport modelling and other supplementary data. The methodology for this combination is a linear regression model followed by kriging of the residuals produced from that model (residual kriging), as routinely used in the hourly  $O_3$  mapping applied in the regular POD for crops map creation (Horálek et al., 2022). Interpolation is therefore carried out according to the relation:

$$\hat{Z}(s_0) = c + a_1 X_1(s_0) + a_2 X_2(s_0) + \dots + a_n X_n(s_0) + \eta(s_0) \quad (\text{Eq. 29})$$

where  $\hat{Z}(s_0)$  is the estimated value of the air pollution indicator at the point  $s_0$ ,  
 $X_1(s_0), X_2(s_0), \dots, X_n(s_0)$  are  $n$  number of individual supplementary variables at the point  $s_0$ ,  
 $c, a_1, a_2, \dots, a_n$  are  $n+1$  parameters of the linear regression model calculated based on the data at the points of measurement,  
 $\eta(s_0)$  is the spatial interpolation of the residuals of the linear regression model at the point  $s_0$  calculated based on the residuals at the points of measurement.

The gridded data are calculated for hourly values at 2x2 km<sup>2</sup> resolution, based on rural background measurements (see Section 3.1). The supplementary variables used are chemical transport model (CTM) output, surface solar radiation and altitude (see Sections 3.2-3.4).

### 2.3 Routines used

A module using R Software (R Core Team 2020) to estimate phytotoxic O<sub>3</sub> doses from a given atmospheric O<sub>3</sub> exposure as developed for the Continental biogeographical region by the INERIS (Colette et al., 2018) has been used. This script has been consolidated and finalized by the CHMI for use in the POD spatial calculation for selected trees, i.e. it has been modified also for use in the Boreal biogeographical region in the case of spruce and for the Mediterranean biogeographical region in the case of beech. It follows precisely the methodology and parametrisation described in the Manual for modelling and mapping critical loads & levels of the Air Convention (CLRTAP) in its most recent available revision dated 2017 (CLRTAP, 2017a).

The POD annual maps are calculated based on hourly O<sub>3</sub> gridded data (see Section 2.2), hourly meteorological data (Section 3.3) and the soil hydraulic properties data (Section 3.4).

## 3 The Input data

The input data for the POD calculation are the same as used for the regular mapping of POD for crops for 2020 (Horálek et al., 2022).

### 3.1 Air quality monitoring data

Air quality monitoring station data have been extracted from the official EEA Air Quality e-Reporting database (EEA, 2022). The data come from the E1a data flow, i.e. these are the official data as reported by the EEA's member and cooperating states. This data set has been supplemented with several EMEP rural stations from the EBAS database (NILU, 2022) which are not reported to the Air Quality e-Reporting database. Only data from stations classified as rural background have been considered. Ozone hourly data for 2020 have been used. In total, data from 549 monitoring stations have been applied, including 18 British stations from the EBAS database that are not included in the AQ e-reporting database. These ozone monitoring data have been used for the O<sub>3</sub> hourly gridded data estimation (see Section 2.2), together with the O<sub>3</sub> hourly modelling data and other supplementary data.

### 3.2 Chemical transport model output

The chemical dispersion modelling data used for the O<sub>3</sub> hourly gridded data estimation (see Section 2.2) are the CAMS Ensemble Forecast modelling O<sub>3</sub> hourly data. The output data of the CAMS modelling are provided by the Copernicus Atmosphere Monitoring Service (CAMS) at a regional scale over Europe. The European regional production consists of an ensemble of nine air quality models run operationally. The model forecasts of the individual models are combined into the Ensemble Forecast by taking the median of all nine models. For details of the individual models, see Marécal et al. (2015). All the models used in the CAMS ensemble products were run using the TNO-MACC emissions representative of 2011 (Kuenen et al., 2014) and the meteorology (i.e., the weather forecast) provided by the European Centre for Medium Range Weather Forecasts (ECMWF) operationally. The resolution of the modelling data is 0.1°×0.1° which is around 10×10 km.

### 3.3 Meteorological data

The meteorological data used are the ECWMF data extracted from the CDS (Climate Data Store, <https://cds.climate.copernicus.eu/cdsapp#!home>). Hourly data for 2020 are used. Most of the data come from the reanalysed data set ERA5-Land at 0.1°×0.1° resolution, namely the indicators:

**Surface solar radiation** – variable “Surface solar radiation downwards”

**Temperature** – variable “2m temperature”

**Soil water** – variable “Volumetric soil water layer 3”, i.e. layer of 28-100 cm for beech and “Volumetric soil water layer 2”, i.e. layer of 7-28-100 cm for Norway spruce

**Wind speed** (WV) is derived from “10m u-component of wind” (10U) and “10m v-component of wind” (10V) according to relation

$$WV = \sqrt{(10U)^2 + (10V)^2} \quad (\text{Eq. 30})$$

**Relative humidity** (RH) is derived by means of the saturated water vapour pressure ( $e_t$ ) as a function of “2m temperature” (2T) and “2m dew point temperature” (2D) according to relation

$$RH = \frac{e_{2D}}{e_{2T}} \cdot 100, \text{ with } e_t = 6.1365^{\frac{17.502 \cdot t}{24097+t}} \quad (\text{Eq. 31})$$

where  $t$  is 2T and 2D, respectively.

In the coastal areas (where the data from ERA5-Land are not available), the same parameters from the reanalysed data set ERA5 at 0.25°x0.25° resolution are applied. Additionally to this, the following data (not available in the ERA5-Land data set) from the ERA5 data set are also used:

**Friction velocity [m/s]** – variable “Friction velocity”. The friction velocity (also known as the *shear-stress velocity*) has the dimensions of velocity, i.e. m/s.

Besides the ERA5-Land and ERA5 meteorological data, the following indicator, an output of the CHIMERE (Menut et al., 2021) pre-processing which uses ECWMF’s IFS (Integrated Forecasting System) meteorological data as input, is used as hourly data for 2020 at 0.1°x0.1° resolution:

**Air density** (molec/cm<sup>3</sup>) – expressed the number of the molecules in cm<sup>3</sup>.

All meteorological data were re-gridded and converted into the reference EEA 1×1 km<sup>2</sup> grid, 10×10 km<sup>2</sup> grid and 2×2 km<sup>2</sup> grid, in the ETRS89-LAEA5210 projection.

### 3.4 Altitude

We use the altitude data field (in m) of Global Multi-resolution Terrain Elevation Data 2010 (GMTED2010), with an original grid resolution of 15×15 arcseconds from U.S. Geological Survey Earth Resources Observation and Science, see Danielson et al. (2011). The original data were converted into the 2×2 km<sup>2</sup> grid resolution of the ETRS 1989 LAEA projection.

### 3.5 Soil hydraulic properties

JRC data labelled as “Maps of indicators of soil hydraulic properties for Europe” at 1×1 km<sup>2</sup> resolution are used; the dataset and maps have been downloaded from the European Soil Data Centre JRC (2016). The following indicators are used:

Wilting Point – water content at wilting point (cm<sup>3</sup>/cm<sup>3</sup>)  
 Field Capacity – water content at field capacity (cm<sup>3</sup>/cm<sup>3</sup>)

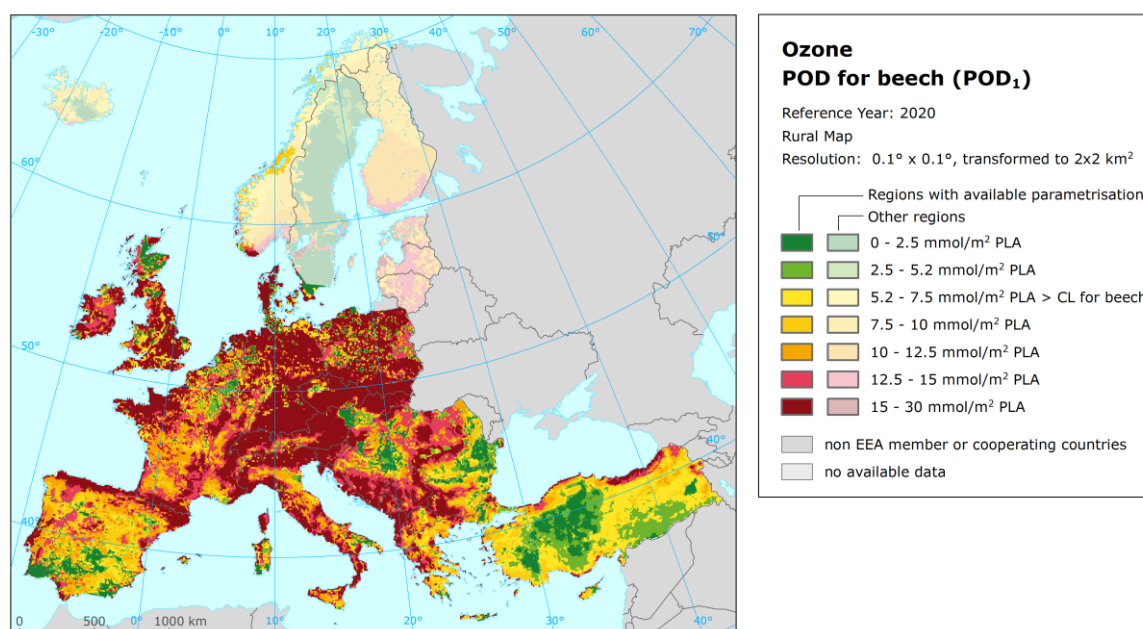


## 4 Results – maps of POD for beech and spruce

Maps 4.1 and 4.2 present the final maps of Phytotoxic Ozone Dose (POD<sub>1</sub>) for beech and spruce respectively, in 2020. High values of POD<sub>1</sub> can be found across various areas of Europe, since POD<sub>1</sub> is dependent not only on O<sub>3</sub> levels but also on the environmental conditions and plant phenology. For example higher POD<sub>1</sub> values can occur in areas with lower O<sub>3</sub> concentrations but favourable conditions for the stomatal conductance. On the other hand, the lowest levels of POD<sub>1</sub> usually occur in areas with lower O<sub>3</sub> concentrations, such as northern European regions, and/or in areas where environmental conditions limit the O<sub>3</sub> stomatal conductance such as dry and warm areas, including parts of the southern and south-eastern Europe.

As with the maps of other vegetation-related indicators (Horálek et al., 2022), only monitoring data from the rural background stations are used in the mapping. Thus, the maps are representative for rural areas only, and the estimated POD values in the urban areas are based on the O<sub>3</sub> modelling data without adjustment by the monitoring data from the urban and suburban stations.

**Map 4.1: Phytotoxic Ozone Dose (POD<sub>1</sub>) for beech, rural map, 2020**

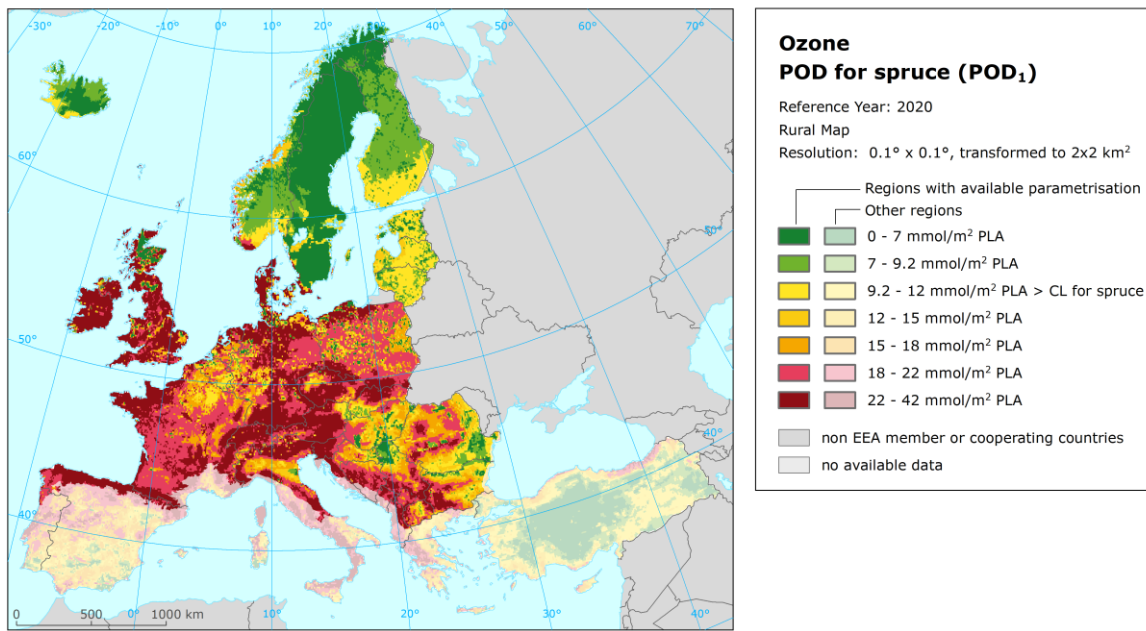


The areas in Map 4.1 with POD<sub>1</sub> values below the Critical Level (CL) for beech (i.e. 5.2 mmol/m<sup>2</sup> PLA) are marked in dark green and green. The areas with POD<sub>1</sub> values above the CL for beech are marked in yellow, dark yellow, orange, red and dark red. Since no parametrization for beech in the Boreal, Arctic and Alpine > 50° biogeographical regions is available, these areas are marked in lighter colours (see Section 2.1.1).

The CL for beech has been exceeded almost throughout the whole European area mapped, while some areas with POD<sub>1</sub> values below CL are found mainly in the Iberian Peninsula, Belgium, the United Kingdom, Austria, Serbia, Romania and Turkey.

The highest levels of POD<sub>1</sub> for beech in 2020 are found in western Europe (the United Kingdom and the Brittany region of France), in northern Europe (Denmark), in central Europe (parts of Germany, Czechia, Slovakia, Austria and Switzerland), parts of southern and south-eastern Europe (the north of Spain, parts of Italy, areas of the Balkan countries and the north of Turkey).

**Map 4.2: Phytotoxic Ozone Dose (POD<sub>1</sub>) for spruce, rural map, 2020**



The areas in Map 4.2 with POD<sub>1</sub> values below the Critical Level (CL) for spruce (i.e. 9.2 mmol/m<sup>2</sup> PLA) are marked in dark green and green. The areas with POD<sub>1</sub> values above the CL for spruce are marked in yellow, dark yellow, orange, red and dark red. Since no parametrization for spruce in the Mediterranean, Anatolian and Black Sea biogeographical regions is available, this area is marked in lighter colours (see Section 2.1.1).

The CL for spruce has been exceeded almost throughout the whole European area mapped, with the exception of large areas in northern Europe, and some areas in the north of the United Kingdom and the Balkan countries. Nevertheless, according to CLRTAP (2020), the start of the growing season in northern Europe is earlier than the start of the growing season calculated by the current recommended latitude model. As a result of this earlier start of the growing season, POD<sub>1</sub> values in northern Europe could be higher than those presented. Unfortunately, a better approach for the start of the growing season for spruce is not currently available. Other small areas with values below the CL POD<sub>1</sub> are also found in the Benelux, France, Germany, Poland, Hungary, Slovakia and Italy.

The highest levels of POD<sub>1</sub> for spruce in 2020 are found in western Europe (the United Kingdom and France), in southern Europe (the north of Spain and parts of Italy), in south-eastern Europe (parts of different Balkan countries), in central Europe (parts of Switzerland, Germany, Czechia, Slovakia, Hungary, and Austria). High POD<sub>1</sub> is also seen in Denmark.

## Conclusion

This paper examines the potential inclusion of the Phytotoxic Ozone Dose (POD) for selected trees in regular mapping under the ETC HE, following the earlier inclusion of the POD for crops (POD<sub>6</sub> for wheat, potato and tomato, see Horálek et al., 2021). Such an inclusion would be beneficial, as the flux-based POD<sub>Y</sub> metrics (i.e. POD above a threshold flux Y) are generally preferred in risk assessment over the concentration-based exposure metrics like AOT40 indexes (Mills et al., 2011).

Maps of POD<sub>1</sub> for tree species of beech and spruce have been prepared, for a uniform species-independent O<sub>3</sub> flux threshold of Y = 1 nmol/m<sup>2</sup> PLA per second. The methodology applied is documented in detail and the input data used are fully detailed. POD calculation has been performed using routines developed earlier by INERIS (POD parametrisation for the Continental biogeographical region) and slightly modified by CHMI with the addition of POD parametrisation for the Boreal and Mediterranean biogeographical regions. This tool follows the methodology described in the Manual for modelling and mapping critical loads and levels of the Air Convention (CLRTAP) in its most recent available revision dated 2017 (CLRTAP, 2017a).

The POD maps have been constructed at 0.1° x 0.1° resolution, the final outputs were transferred to the 2x2 km<sup>2</sup> resolution of the EEA layout as routinely used in other regular maps of vegetation-related indicators. It can be concluded that the inclusion of POD for selected trees in routine mapping along with the other maps already produced is feasible. Thus, it is recommended to include POD<sub>1</sub> for beech and spruce in the routinely mapped air quality indicators. In future, addition of POD<sub>1</sub> for another tree species might be considered, preferably POD<sub>1</sub> for oak.

## List of abbreviations

Abbreviation	Name	Reference
AOT40	Accumulated Ozone exposure over a Threshold of 40 ppb (i.e. 80 µg/m <sup>3</sup> ) in a specific period	<a href="http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2008:152:0001:0044:EN:PDF">http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2008:152:0001:0044:EN:PDF</a>
CDS	Climate Data Store	<a href="https://cds.climate.copernicus.eu/cdsapp#!/home">https://cds.climate.copernicus.eu/cdsapp#!/home</a>
CL	Critical Level	<a href="https://icpvegetation.ceh.ac.uk/chapter-3-mapping-critical-levels-vegetation">https://icpvegetation.ceh.ac.uk/chapter-3-mapping-critical-levels-vegetation</a>
CLRTAP	Convention on Long-range Transboundary Air Pollution (Air Convention)	<a href="https://unece.org/environment-policy/air">https://unece.org/environment-policy/air</a>
ECMWF	European Centre for Medium-Range Weather Forecasts	<a href="https://www.ecmwf.int/">https://www.ecmwf.int/</a>
EEA	European Environment Agency	<a href="http://www.eea.europa.eu">www.eea.europa.eu</a>
EIONET	The European Environment Information and Observation Network	<a href="https://www.eionet.europa.eu/">https://www.eionet.europa.eu/</a>
EMEP	European Monitoring and Evaluation Programme	<a href="https://www.emep.int/">https://www.emep.int/</a>
ETC HE	European Topic Centre on Human health and the environment	<a href="https://www.eionet.europa.eu/etcs/etc-he">https://www.eionet.europa.eu/etcs/etc-he</a>
EU	European Union	<a href="https://european-union.europa.eu">https://european-union.europa.eu</a>
FC	Field capacity	<a href="https://icpvegetation.ceh.ac.uk/sites/default/files/Scientific%20Background%20document%20B%20June%202020.pdf">https://icpvegetation.ceh.ac.uk/sites/default/files/Scientific%20Background%20document%20B%20June%202020.pdf</a>
GIZ	Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH	<a href="https://www.giz.de/">https://www.giz.de/</a>
CHMI	Czech Hydrometeorological Institute	<a href="https://www.chmi.cz/">https://www.chmi.cz/</a>
ICP	International scientific Cooperative Programme	<a href="https://icpvegetation.ceh.ac.uk/">https://icpvegetation.ceh.ac.uk/</a>
INERIS	Institut national de l'environnement industriel et des risques (The French National Institute for Industrial Environment and Risks)	<a href="https://www.ineris.fr/fr">https://www.ineris.fr/fr</a>
JRC	Joint Research Centre	<a href="https://ec.europa.eu/info/departments/joint-research-centre_en">https://ec.europa.eu/info/departments/joint-research-centre_en</a>
NILU	Norwegian Institute for Air Research	<a href="https://www.nilu.no/">https://www.nilu.no/</a>
PLA	Projected Leaf Area	<a href="https://icpvegetation.ceh.ac.uk/chapter-3-mapping-critical-levels-vegetation">https://icpvegetation.ceh.ac.uk/chapter-3-mapping-critical-levels-vegetation</a>
POD	Phytotoxic Ozone Doze	<a href="https://icpvegetation.ceh.ac.uk/chapter-3-mapping-critical-levels-vegetation">https://icpvegetation.ceh.ac.uk/chapter-3-mapping-critical-levels-vegetation</a>
PWP	Permanent wilting point	<a href="https://icpvegetation.ceh.ac.uk/sites/default/files/Scientific%20Background%20document%20B%20June%202020.pdf">https://icpvegetation.ceh.ac.uk/sites/default/files/Scientific%20Background%20document%20B%20June%202020.pdf</a>



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## Annex 1

**Table A1.1: Summary of the parameterisation for POD<sub>1</sub>SPEC calculations of sunlit leaves at the top of the canopy of common beech (*Fagus sylvatica*) and Norway spruce (*Picea abies*) for different biogeographical regions**

Parameter	Units				
Tree species		Norway spruce	Norway spruce	Beech	Beech
Region		Boreal	Continental, Atlantic, Steppic, Pannonian	Mediterranean	
$g_{max}$	mmol O <sub>3</sub> /m <sup>2</sup> PLA/s	125	130	155	155
$f_{min}$	fraction	0.1	0.16	0.13	0.02
light_a	NA	0.006	0.01	0.006	0.006
T <sub>min</sub>	°C	0	0	5	4
T <sub>opt</sub>	°C	20	14	16	21
T <sub>max</sub>	°C	200 <sup>(a)</sup>	35	33	37
VPD <sub>max</sub>	kPa	0.8	0.5	1	1
VPD <sub>min</sub>	kPa	2.8	3	3.1	4
A <sub>start</sub>	°C day	Latitude model	$f_{temp}$ <sup>(b)</sup>	Latitude model	Latitude model
A <sub>end</sub>	°C day	Latitude model	$f_{temp}$ <sup>(b)</sup>	Latitude model	Latitude model
Leaf dimension	cm	0.8	0.8	7	7
Canopy height	m	20	20	20 <sup>(c)</sup>	20
$f_{phen\_a}$	fraction	0	0	0	0
$f_{phen\_e}$	fraction	0	0	0.4	0
$f_{phen\_1}$	no. of days	20	0	20	15
$f_{phen\_4}$	no. of days	30	0	20	20

Notes:

(<sup>a</sup>) The T<sub>max</sub> value is set at 200 °C to simulate the weak response to high temperatures of Norway spruce growing under Northern European conditions. Hence, the T<sub>max</sub> value should be viewed as a forcing rather than descriptive parameter.

(<sup>b</sup>) For continental Norway spruce, the growing season is assumed to occur when air temperatures are between the T<sub>min</sub> and T<sub>max</sub> thresholds of the  $f_{temp}$  relationships. During such periods there is no limitation on conductance associated with leaf development stage (i.e.  $f_{phen} = 1$ ).

(<sup>c</sup>) Canopy height is fixed to 25 m for beech in these regions in last version of the Manual for modelling and mapping critical loads and levels. Nevertheless, for this paper, the O<sub>3</sub> concentration at the canopy height is calculated using tabulated gradient set to the maximum canopy height of 20 m.

Source: CLRTAP, 2017a; CLRTAP, 2020.

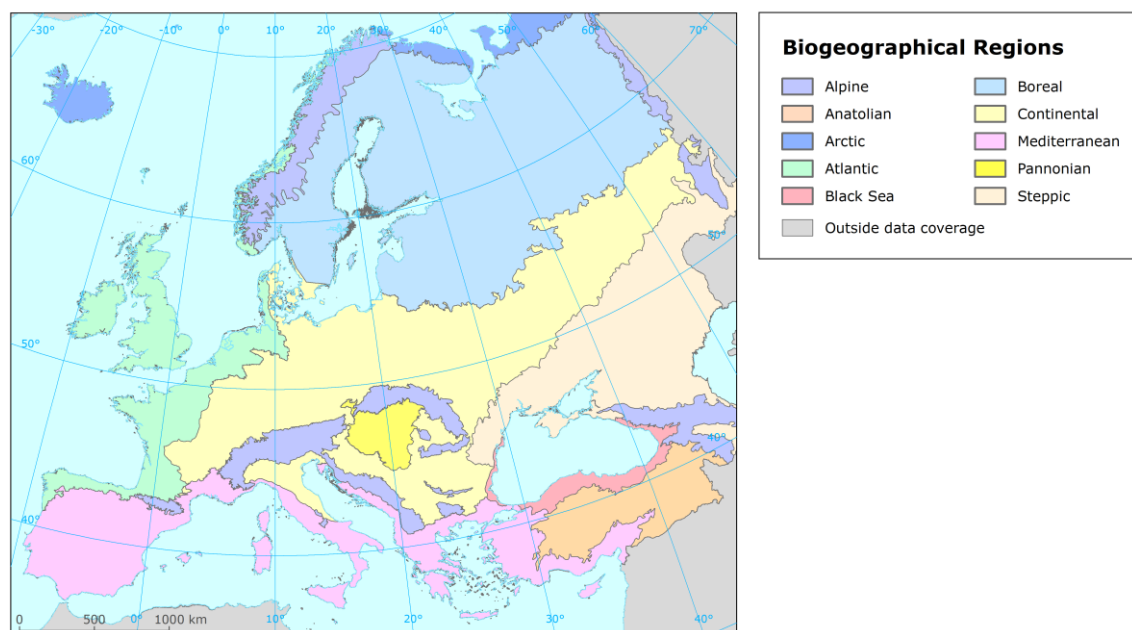
## Annex 2

**Table A2.2: Summary of the parameterisation for POD<sub>1</sub>SPEC calculations used for different biogeographical regions**

Biographic regions in Europe (EEA, 2016)	Parameterisation (CLRTAP, 2017a, 2020) for beech ( <i>F. sylvatica</i> )	Parameterisation (CLRTAP, 2017) for spruce ( <i>P. abies</i> )
Alpine > 50°	(Continental)	Boreal
Alpine < 50°	Continental	Continental
Anatolian	Continental	(Continental)
Arctic	(Continental)	Boreal
Atlantic	Continental	Continental
Black Sea	Mediterranean	(Continental)
Boreal	(Continental)	Boreal
Continental	Continental	Continental
Mediterranean	Mediterranean	(Continental)
Pannonian	Continental	Continental
Steppic	Continental	Continental

Note: Regions presented in lighter colours in the Maps 4.1 and 4.2 are in brackets.

**Map A2.1: Biogeographical regions in Europe**



Source: EEA, 2016.



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